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63428-063

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Michael A. Filipiak
Serial No.: 10/037,852
Filed: January 4, 2002
Group Art Unit: 3682
Examiner: Fenstermacher, David Morgan
Title: DUAL SPHERICAL BALL CLAMP

Mail Stop M Correspondence
Commissioner For Patents
P. O. Box 1450
Alexandria, VA 22313-1450

NOTIFICATION REGARDING CHANGE OF SMALL ENTITY STATUS

Dear Sir:

Pursuant to 37 CFR 1.28(c), applicant submits this Fee Deficiency Paper. Please amend the status of patent application serial number 10/037,852 to reflect that applicant is no longer entitled to small entity status. Applicant's status as a small entity was established in good faith, however it was later discovered that after March 1, 2005 the applicant was no longer a small entity.

Enclosed is a payment of the deficiency owed. An itemization of the deficiency payment is as follows:

| <u>Type of Small Entity Fee Paid</u> | <u>Amount of Small Entity Fee Paid</u> | <u>Date of Small Entity Fee Payment</u> | <u>Current Large Entity Fee</u> | <u>Deficiency Owed</u> |
|--|--|---|---------------------------------|------------------------|
| Request for Continued Examination | 395.00 | 8/21/06 | 790.00 | 395.00 |
| 01/09/2007 TTRAN2- 00000041-10037852 | | <u>Total Deficiency</u> | | <u>\$395.00</u> |
| 01-FC:1501 1400.00-OP 02-FC:1504 -300.00-OP | | | | |

01/09/2007 AWONDAF1 00000003 10037852

02 FC:1801 395.00 DA 395.00 OP



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Applicant believes that no additional fees are necessary, however, the Commissioner is authorized to charge Deposit Account No. 50-1482 in the name of Carlson, Gaskey & Olds for any additional fees or credit the account for any overpayment.

Respectfully submitted,

CARLSON, GASKEY & OLDS, P.C.

By: 

Matthew L. Kozlak, Reg. No. 53,154
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Dated: January 5, 2007

CERTIFICATE OF MAILING

I hereby certify that the enclosed **Notification Regarding Change of Small Entity Status** is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to Mail Stop M Correspondence, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this 5 Day of January, 2007.


Laura Combs